



PCT/AU99/01121

REC'D	11 APR 2000
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I, ANNA MAIJA MADL, ACTING TEAM LEADER EXAMINATION  
SUPPORT & SALES hereby certify that annexed is a true copy of the  
Provisional specification in connection with Application No. PP,7840 for a  
patent by FILTER TECHNOLOGY AUSTRALIA PTY LTD filed on  
18 December 1998.

EFO - BG 1

09. 07. 2001

(37)

WITNESS my hand this  
Third day of April 2000



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FORM 9

COMMONWEALTH OF AUSTRALIA

Patents Act 1990

PROVISIONAL SPECIFICATION FOR THE INVENTION ENTITLED:

"A FILTER CARTRIDGE AND PROCESS"

This invention is described in the following statement:

THIS INVENTION relates to filtration and in particular but not limited to filtration of engine, transmission and hydraulic oils, fuel and solvents.

Oil filtration involves the use of full flow filters that filter all oil on every pass through the filter and the use of by-pass oil filters in conjunction with the full flow filters. By-pass oil filters operate in parallel with the full flow filter and generally speaking have a greater filtration than the full flow filter.

Full flow elements filter all oil on every pass and work using an element operating like a series of small sieves, with tiny holes allowing oil to pass through, while filtering out particles too large to pass through the sieve-like element. However, the holes are not small enough to filter out many finer particles, and it is these particles that can cause significant engine wear over a period of time.

On the other hand a by-pass filter operates in parallel with the full flow filter and can be much finer to progressively remove finer contaminants not captured by the full flow filter. The use of a by-pass filter progressively filters all the oil, thus increasing the useful life of the oil and reducing engine wear.

Typical by-pass oil filters include pleated element by-pass elements. These filters employ a longitudinally pleated membrane that is prone to become clogged after just a few hours work. Many by-pass filters have a pressure release valve that opens when this occurs. Another type of by-pass filter is a multiple disc by-pass filter which lasts much longer than the pleated elements.

The most effective by-pass filters employ a roll of paper wound on a central core with the feedstock being forced edgewise through the roll of paper to progress longitudinally the full width of the paper media. The filtrate then

flows to the central core where it exits the filter via a return pipe. The principle of operation of this type of filter is different to the sieve-like filter and the pleated filter in so far as the paper roll filter relies on oil passing between the layers of paper instead of passing through a sieve-like element.

5           Early forms of paper roll filters employed a toilet roll fitted within a filter housing. Later more sophisticated forms employed a filter element comprising a disposable canister holding the paper roll.

Australian patent number 650176 describes a filter element of the cartridge type employing a filter housing with a filter element within the housing. The  
10   filter element employs a metal canister with a paper roll forced into the canister under pressure, the canister being open at one end, for entry of feedstock, with a base at the other end, the base having a central hole for the return pipe. A layer of gauze in the base of the canister allows the filtrate to flow across the base to the return pipe in the central core. The sides of the canister are ribbed to  
15   inhibit feed stock tracking down the sides of the canister. The canister also has three or four depressions circumferentially spaced depressions in the base to hold the gauze layer away from the base providing clear secondary flow passages below the gauze to improve flow of filtrate.

20           The paper is tightly wound providing a much finer effective filter than the pleated and disc type filters.

Nevertheless the metal canister has a number of disadvantages. The ribbed side wall is employed to inhibit tracking of feedstock down the side wall outside the filter media but limits the density of the media. While ribbing is effective to limit tracking the canister is prone to buckle under load while the paper is being

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pressed into the canister. This effectively limits the amount of sideways compression that can be applied to the paper roll to a maximum defined by the flex in the side walls of the can and the inherent flexing of the ribs as the paper is being pushed into position, the limit being canister failure.

5       The element construction of Australian patent number 650176 results in a five percent (5%) failure rate of canisters during insertion of the paper roll into the canisters. Also the canisters are prone to be crushed by oil pressure when in use particularly at cold start up when the oil is most viscous. Construction of canisters from thicker metal would make filters more expensive and the ribbing  
10   to prevent tracking more difficult to produce in a technical sense.

Since the metal canisters are disposable they must be designed for single use application at a reasonably economical cost. It would be desirable to provide an alternative that is competitively priced, improves filtration and is less likely to buckle under load.

15       As an alternative to metal canisters PCT/AU96/00762 describes a plastics filter canister suitable for repeated use to enable recycling of the canister. The canister is made sufficiently rigid such that the spent paper roll can be removed using a tool and a fresh roll inserted into the canister. Due to the construction of the metal canisters of patent number 650176 efforts to remove the spent rolls  
20   from the metal canisters would in most cases render the metal canisters unusable having been designed for use as a disposable element. The problem with the canister described in PCT/AU96/00762 is that the design is not amenable to mass production using injection moulding techniques. In addition, the base of the canister was designed with closely spaced concentric rings in an effort to

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reproduce the effect of the secondary flow through passages utilised in the base of the metal canister while supporting the media. While this arrangement was effective in supporting the filter media and gauze the flow characteristics were less than desirable.

5           The present invention achieves its objectives to provide an improved disposable or reusable canister by providing a rigid plastics canister holding a roll of filter paper under higher sideways compression than known in the prior art while enabling manufacture of the filter canister as a one piece injection moulded canister.

10           In one aspect therefore the present invention resides in a method for construction of an internally ribbed injection moulded hollow tube section suitable for use in a filter element holding a paper roll filter media, the method comprising the steps of:

(i)       providing a generally cylindrical male mould member having  
15       longitudinally spaced rib defining grooves;

(ii)       providing a female mould member adapted to overlay the male mould member in closely spaced relation defining a tube shaped void between the mould members;

(iii)      injecting moulding material into the void, said moulding material  
20       being selected to provide a substantially rigid tube when cured;

(iv)       removing the female mould member from the male mould member while the tube is in a green state, the grooves in the male mould member retaining the tube in position on the male mould member; and

(v) subsequently forcing the tube from the male mould member while the tube is still in a green state.

The term "green state" is used herein to mean a condition of hardening whereby the dimensions of the grooves and the degree of hardening of the tube is such that the moulded tube ribs can be pushed from the grooves in the male member without significant distortion of the tube compared to a higher degree of hardening whereby the grooves serve to retain the tube on the male member.

The timing of the release of the tube from the male member will depend upon the nature and characteristics of the plastics moulding material used.

10 It is desirable that the grooves are not uniformly spaced on the male member so that once the initial release of the tube from all the grooves at the first instance takes place as the tube is slid over the male member, the ribs do not all encounter grooves at the same time. Thus, due to the selected uneven spacing of the grooves, the force required to push the tube over the grooves is restricted  
15 such that as the tube is pushed off less than the full complement of ribs engage the grooves at any one time during the process whereby the tube is pushed from the male member.

The tube can be open ended or can have one end closed. The tube typically has open ends when it is intended to form an outer casing for a double  
20 ended filter such as a fuel filter.

Where the tube includes a void forming a transverse base across one end of the tube, during production while the moulding material covers the free end of the male member it is preferable to vent the inside of the base through the

male member to avoid suction that may inhibit the pushing of the tube off the male member.

In another aspect the invention resides in a rigid injection moulded generally cylindrical canister, the canister having a side wall, the side wall having  
5 an outer surface and an inner surface, the canister being used as a filter element holding a paper roll as filter media, the canister having a thin side wall and there being spaced anti-tracking ribs projecting from the inner surface of the side wall and projecting a distance sufficient to enable the canister to be removed from a male mould member defining said ribs, the paper roll being of marginally greater  
10 diameter than the internal diameter of the canister, the canister being sufficiently rigid and the paper roll being sufficiently tightly wound that the paper roll when inserted into the canister using a press is substantially compressed to the internal dimensions of the canister without distortion of the canister.

Preferably the side walls of the canister include marginal flaring of at least  
15 one end of the canister so that the side wall of the canister is medially biased of the order of .5 to 1 millimetre so that the side wall of the canister is biased inwardly to resist distortion of the side wall and enhance compression of the paper roll inside the canister. Typically the flared end is the open end at which the paper roll is pushed into the canister.

20 Preferably the ribs project 1 millimetre to 2 millimetres from the inner surface of the canister with 1.5 millimetres being optimum. Where the ribs are evenly spaced it is preferable that they be at the shallower end of the range of projection, the inner wall have a slight taper on the inner surface to enable release from the male mould. However, the paper must be more tightly wound



and placed under higher compression to achieve the same filter efficiency and anti-tracking when compared to a cylindrical shape where the inner diameter is uniform or medially biased.

The canister preferably includes a marginal taper on the inner surface at the open end to act as a lead in for the paper roll, the objective being to minimise the "give" in the canister and to maximise the sideways compression applied to the shallow anti-tracking ribs.

Preferably where the canister has a base, the base includes external radial strengthening ribs and again is biased inwardly. The base is typically inwardly dishd by up to 2 millimetres at the centre relative to the edges in order to provide a bias against the loading of the paper roll as the paper roll is being pressed into the canister.

The base preferably has an inner surface with radially extending flow passages separated by lands, the lands defining supporting surfaces for a gauze to evenly distribute and support the paper roll media across the base of the canister and to provide secondary flow passages across the base of the canister below the gauze.

In order that the present invention can be more readily understood and be put into practical effect reference will now be made to the accompanying drawings which illustrate preferred embodiments of the invention and wherein:

Figure 1 is a drawing illustrating an injection moulding apparatus for implementing the method described;

Figures 2, 3 and 4 are schematic drawings illustrating the moulding process utilising the apparatus of Figure 1;

Figures 5 and 6 are opposite perspective views; Figure 7 is a top view; Figure 8 is a bottom view; and Figure 9 is a cross-section all illustrating a canister made using the apparatus of Figure 1;

Figure 10 is a perspective drawing illustrating a hydraulic press used to  
5 push a paper roll into the canister of Figures 5 to 9;

Figure 11 is a schematic drawing illustrating operation of the press and the assembly of an oil filter element;

Figure 12 is a schematic drawing of the hydraulic circuit for the press; and

Figure 13 is a section through a fuel filter element.

10 Referring to the drawings and initially to Figure 1 there is illustrated an injection moulding assembly 10 comprising a male moulding member 11 surrounded by a female moulding member 12 forming a void 13 between them that upon injection of molten plastics into the mould a container can be formed. The moulding assembly includes a guide rod 14 and ejection flange 16 and a pair  
15 of ejection flange guide rods 17 and 18, an air vent valve 15 and an injection passage 19. The male member 11 includes in this embodiment eight grooves 20 unevenly spaced so that the partially hardened container formed in the injection mould can be pushed from the mould using the ejection flange 16 while the container is sufficiently green to enable the ribs formed in the grooves 20 to move  
20 over the surface of the male mould 11 during the ejection process.

Figures 2, 3 and 4 illustrate the three stages of production of a container using the injecting moulding assembly of Figure 1. Figure 2 represents the first stage in the process whereby liquid plastic is forced in under pressure and is represented as the black section at 21. An air vent valve at 15 is closed and the

female moulding member 12 is in the closed position along the main guide rails 22.

In stage 2 represented by Figure 3 the female mould member 12 has been withdrawn while the container 23 remains on the male moulding member. The container 23 is still green at this stage. In the third stage of the process the ejection flange 16 is driven along the surface of the male mould member and the air vent valving is opened and the container 23 is pushed off the male moulding member 11 as illustrated in Figure 4.

A typical container formed using the apparatus of Figure 1 is illustrated in Figures 5 to 9. The container is a generally cylindrical canister having a side wall 24, the side wall having an outer surface 25 and an inner surface 26, the canister being used as a filter element holding a paper roll as filter media, the canister has a thin side wall and includes spaced anti-tracking ribs 27 that project in this case 1.5 millimetres into the interior of the container. The base 28 is inwardly dished at 29 and also includes a curved seat 30 for a sealing washer, a single aperture 31 being provided for a return tube for returning the filtrate from the filter to the main oil or fuel circuit. The base is externally ribbed with strengthening ribs 32 while the interior of the base includes radial flow passages 33 separated by lands 34. As can be seen there are in this embodiment 18 flow passages 33 and an annular projection 35. The annular projection 35 also serves an anti-tracking purpose in so far as any small amounts of oil that begin to track down the sides of the container become trapped in the annular channel 36 around the base 28 of the canister. The container 23 includes a flared marginal edge region at 36

and an outward chamfer at 37 which operates as a lead in for the paper roll into the canister 23.

Figure 10 illustrates the operation of a press 38 employing a hydraulic ram 39 having a cylinder assembly 40 with a piston rod 41 and a platen 42 and a  
5 base platen 43 with a control valve 44.

Assembly of a filter element is illustrated in Figure 11. The diameters illustrated with the arrows 45 and 46 are approximately the same with the paper roll 47 comprising approximately 43 metres of paper wound into a tight 110 millimetre to 114 millimetre diameter roll on a cardboard core.

10 A layer of gauze 49 is placed in the base of the canister 23, the paper is initially pushed into position by hand as illustrated in Figure 11 and then the guiding platen 42 is placed down onto the paper roll, activation of the hydraulic cylinder assembly causes the paper roll to be pushed into the canister 23.

The press used to force the paper roll into the canister in one typical  
15 embodiment is a 50 millimetre diameter hydraulic cylinder assembly 39. A one horse power single phase electric motor drives a 20 litre per minute gear pump 50. The cylinder operating pressure is 70 to 80 pounds per square inch which is achieved through an in-built adjustable relief valve 51. The relief valve is situated at the directional spool valve that the operator uses to operate the  
20 cylinder 52.

Other elements illustrated in the hydraulic circuit is the hydraulic reservoir 53 and a pressure valve 54 and a filter 55.

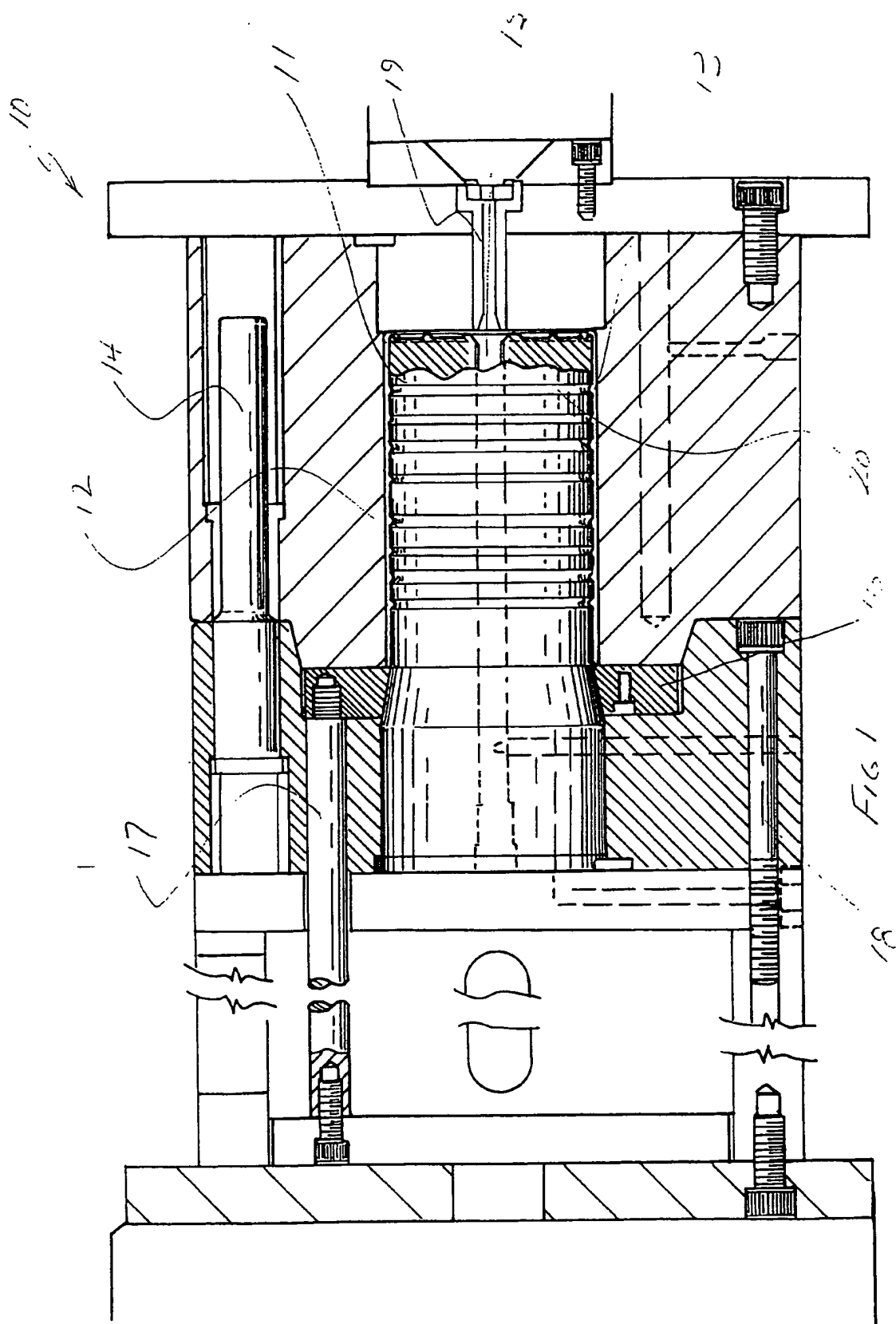
The last drawing illustrates application of the present invention to a fuel filter element 56 which can be made using the apparatus of Figure 1 simply by

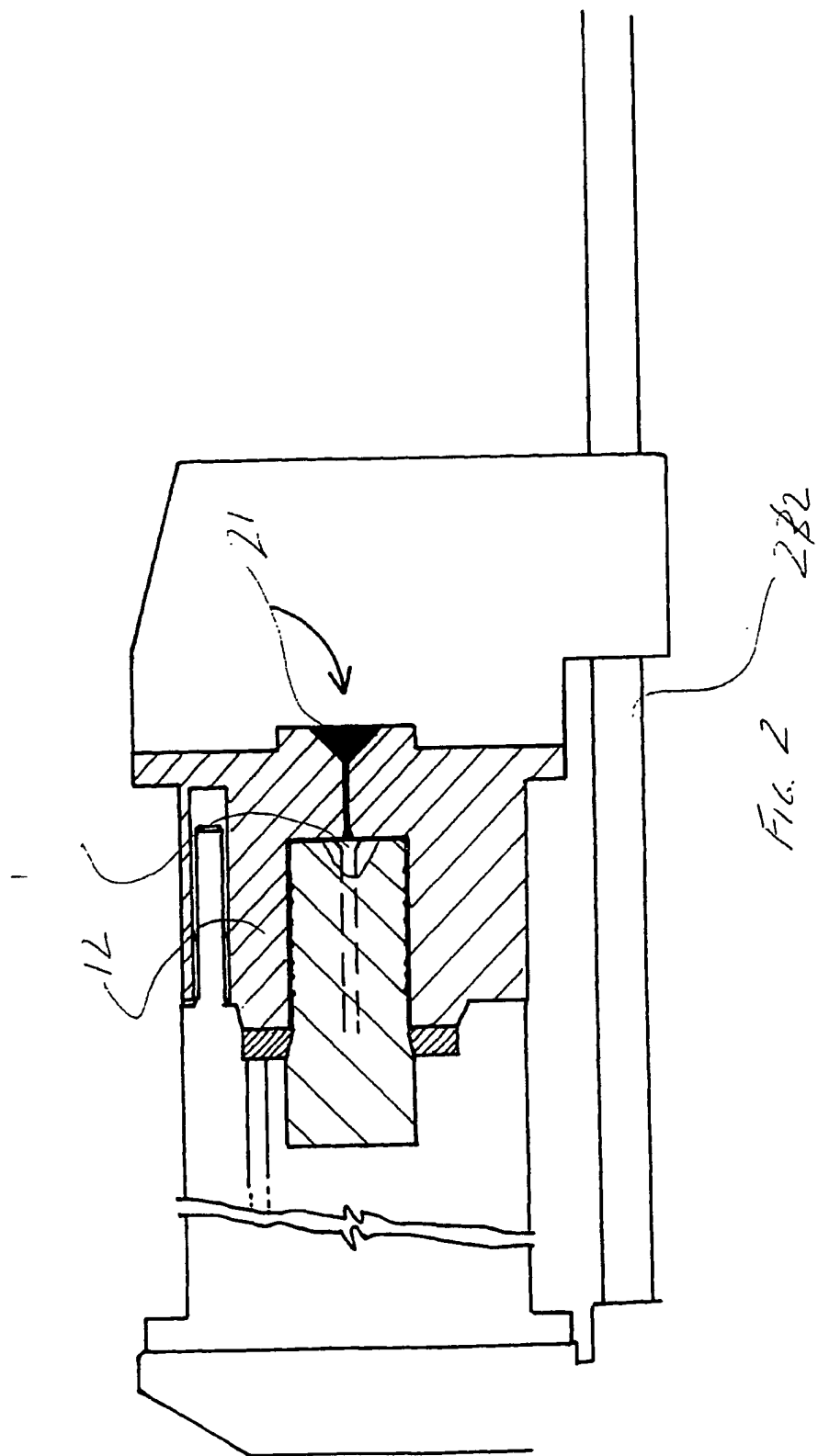
adjusting the thickness of the base to be very thin so that the base can be removed making an open ended tube. Fuel to be filtered flows into the tube from both ends. Two half size paper rolls are inserted with a return flow path provided by an intermediate gauze. A return tube is located in the central core as in the  
5 previous embodiment.

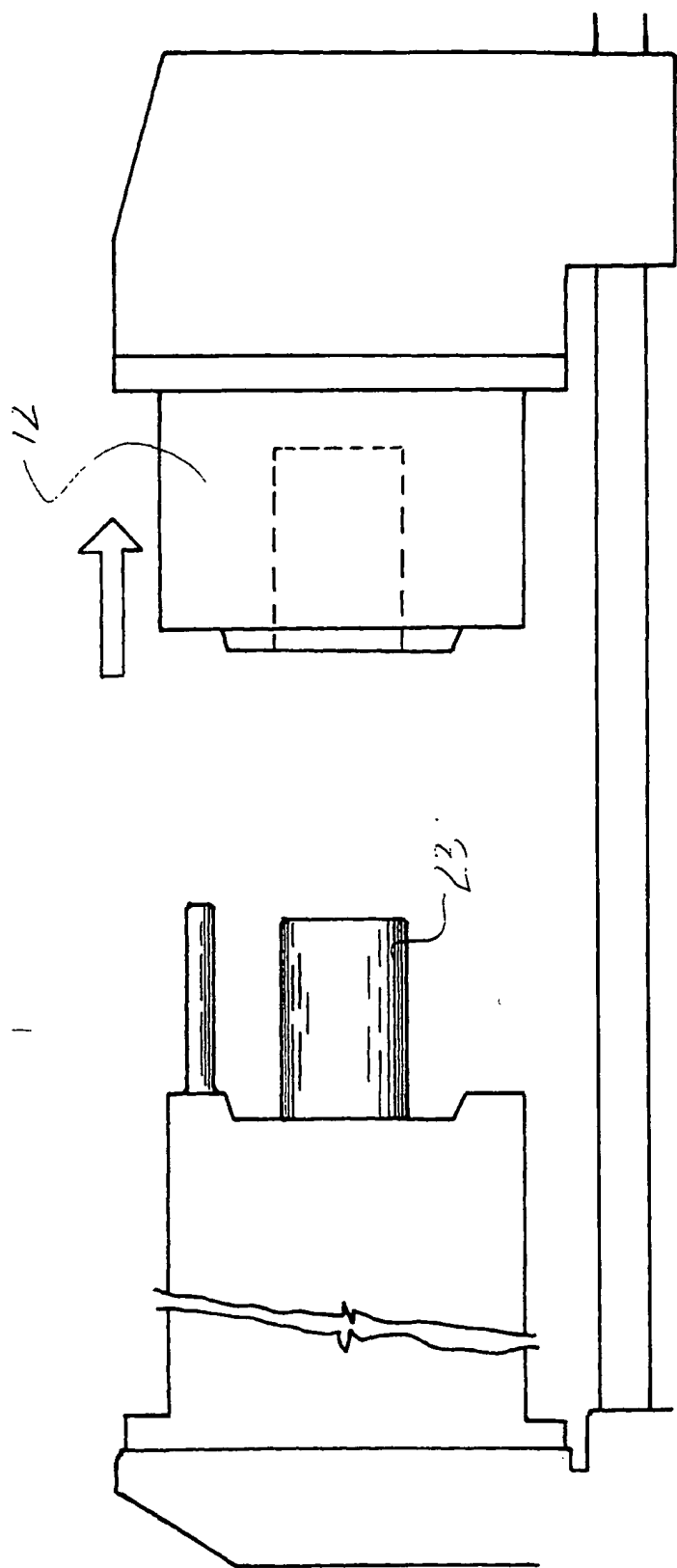
Whilst the above has been given by way of illustrative example of the present invention many variations and modifications thereto will be apparent to those skilled in the art without departing from the broad ambit and scope of the invention as herein set forth.

DATED this Eighteenth day of December 1998

FILTER TECHNOLOGY AUSTRALIA PTY LTD  
By its Patent Attorneys  
INTELLPRO









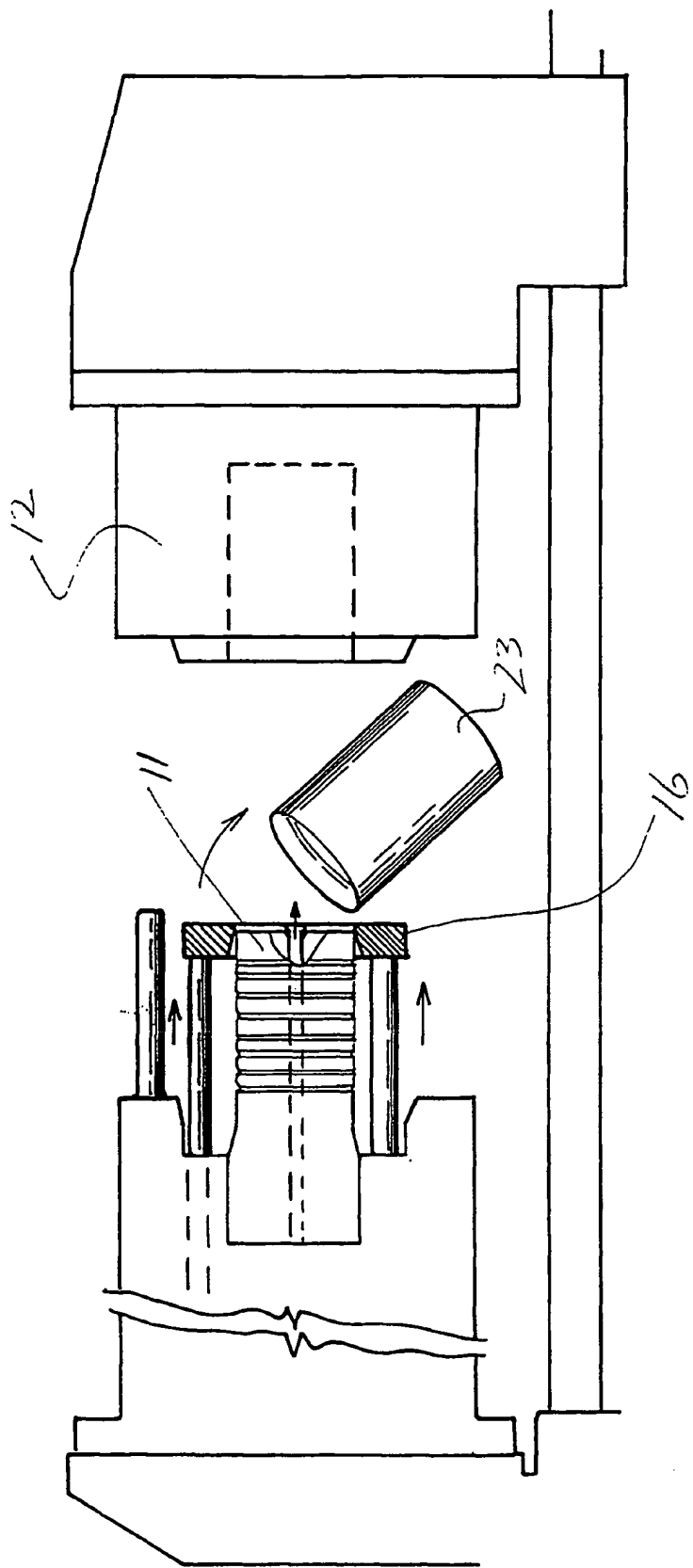
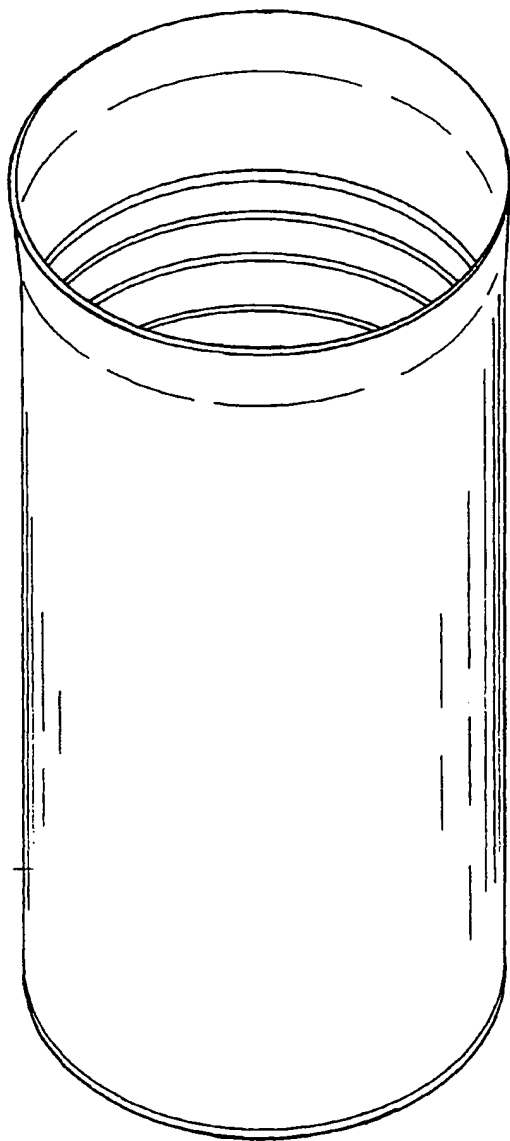
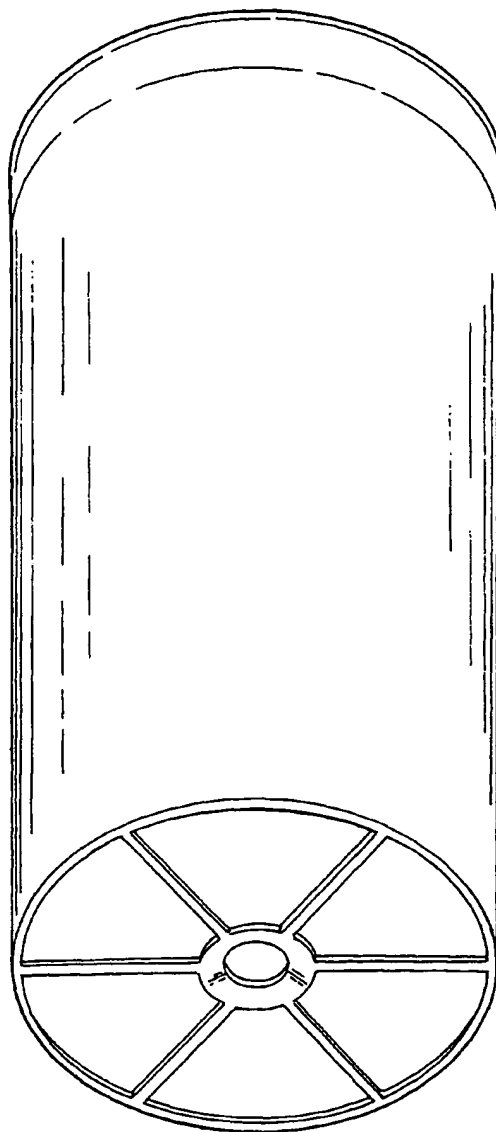


FIG. 4

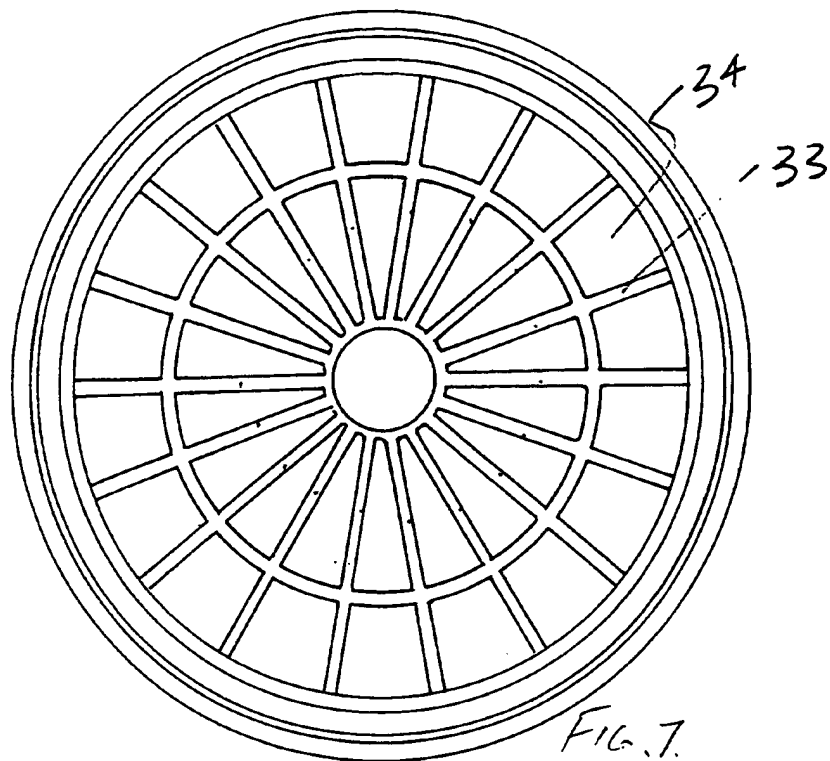


TOP PERSPECTIVE  
VIEW  
FIG. 5

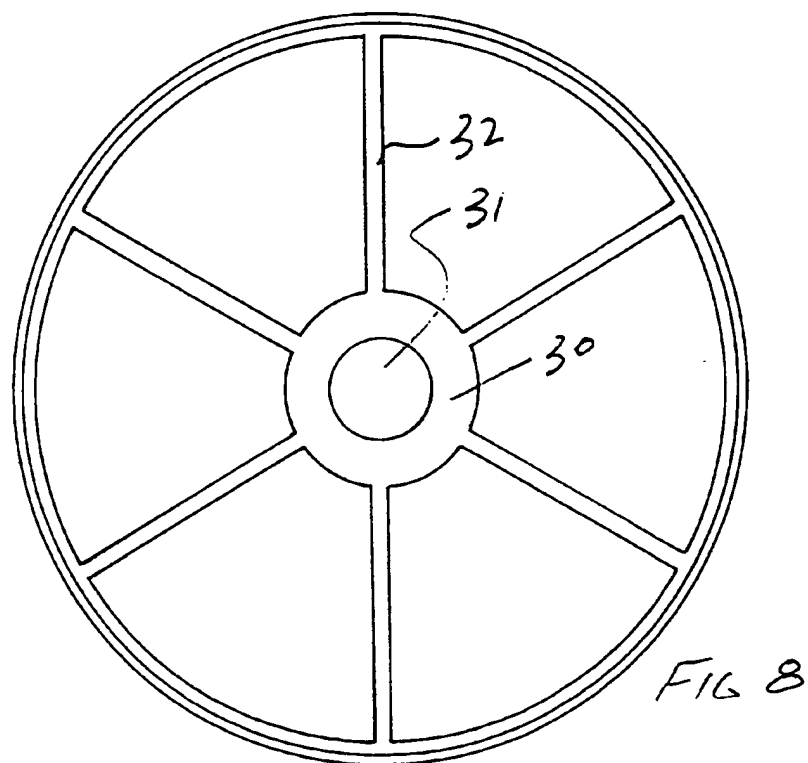


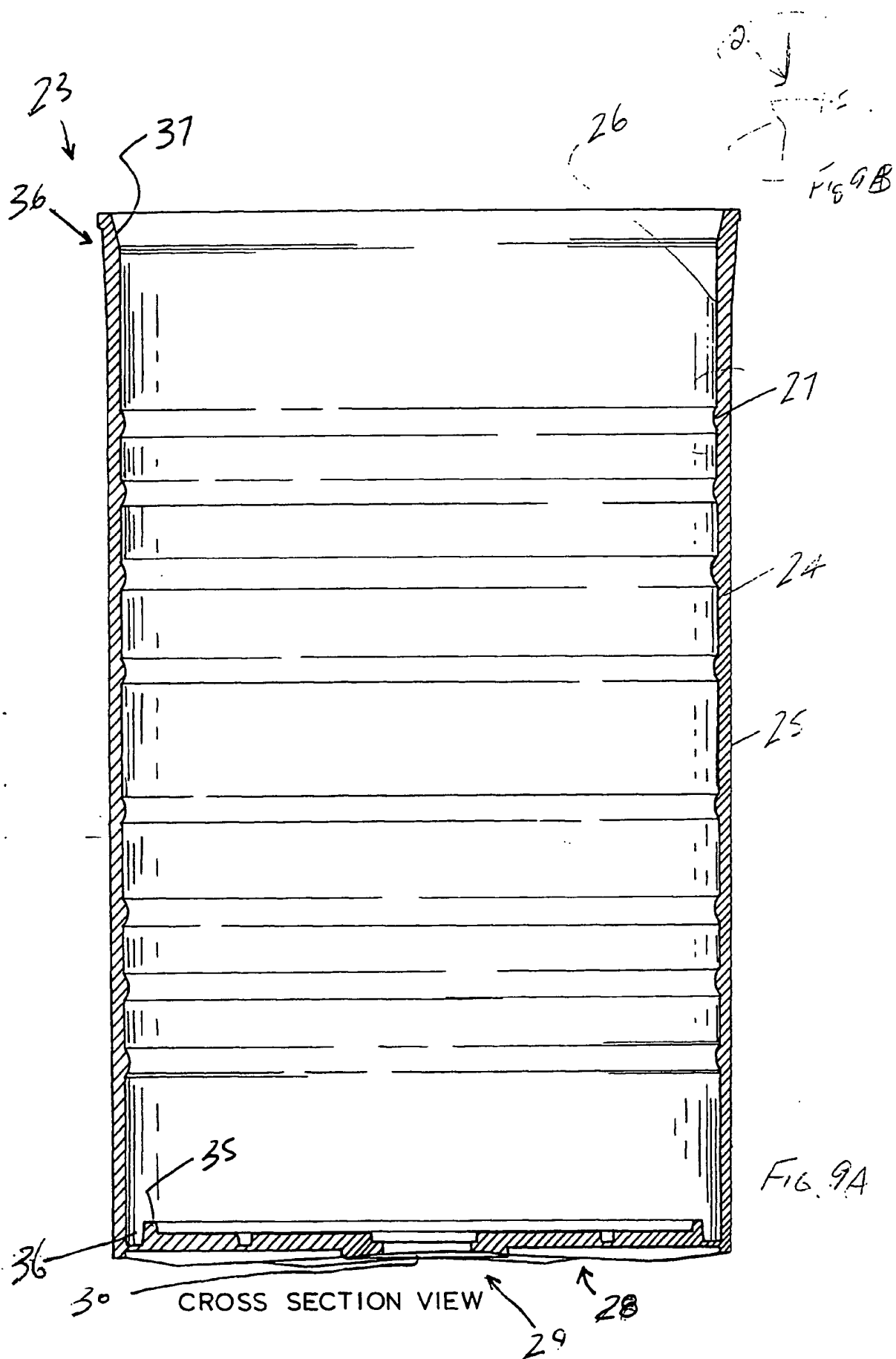
BOTTOM PERSPECTIVE  
VIEW  
FIG. 6

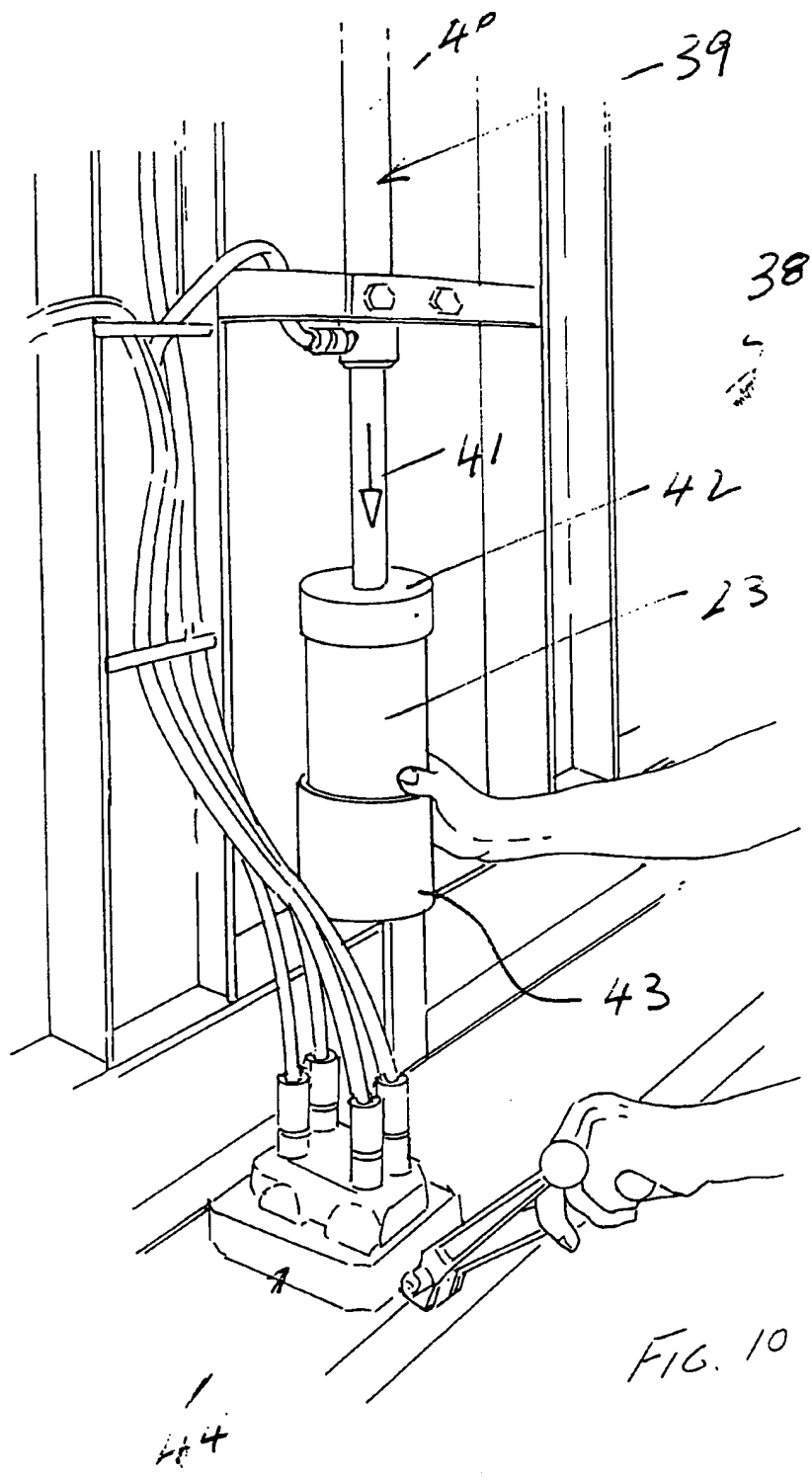
TOP VIEW



BOTTOM VIEW







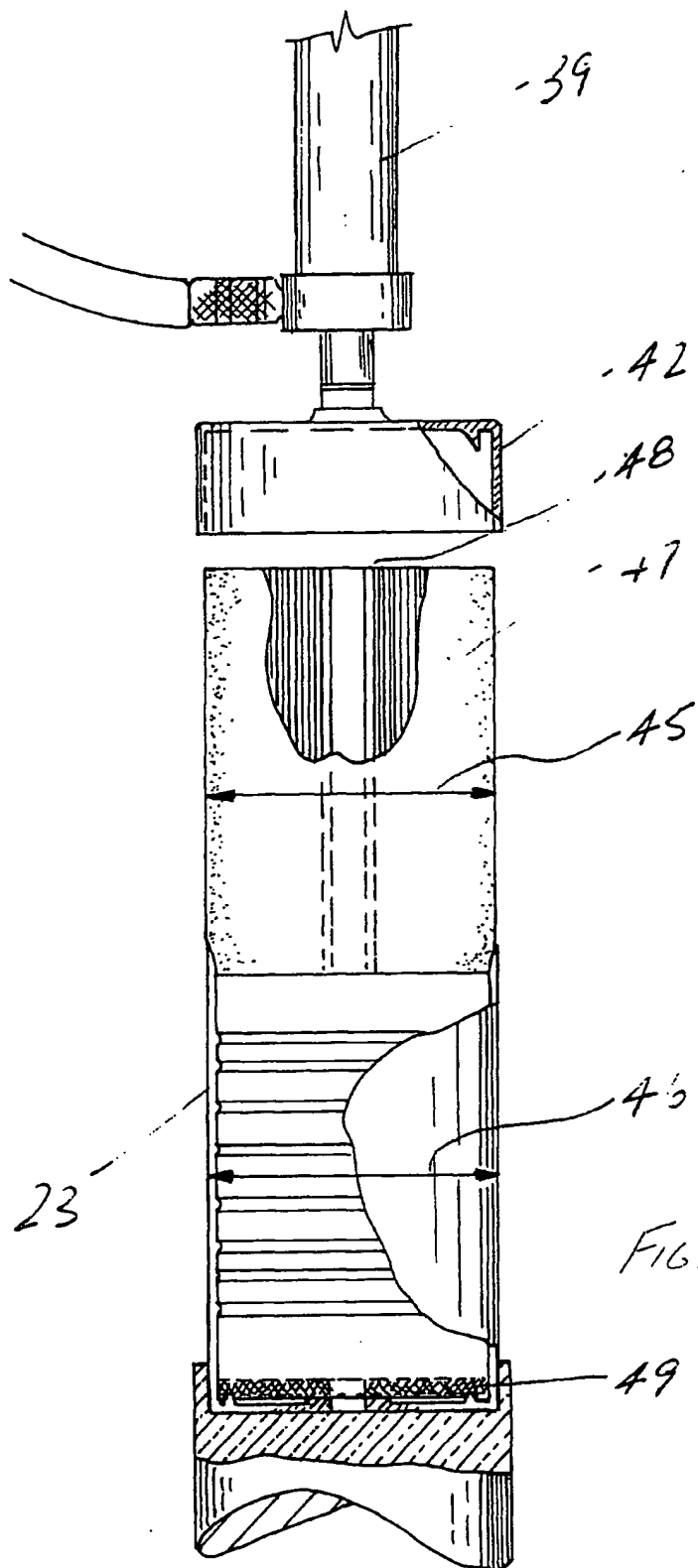


FIG. 11

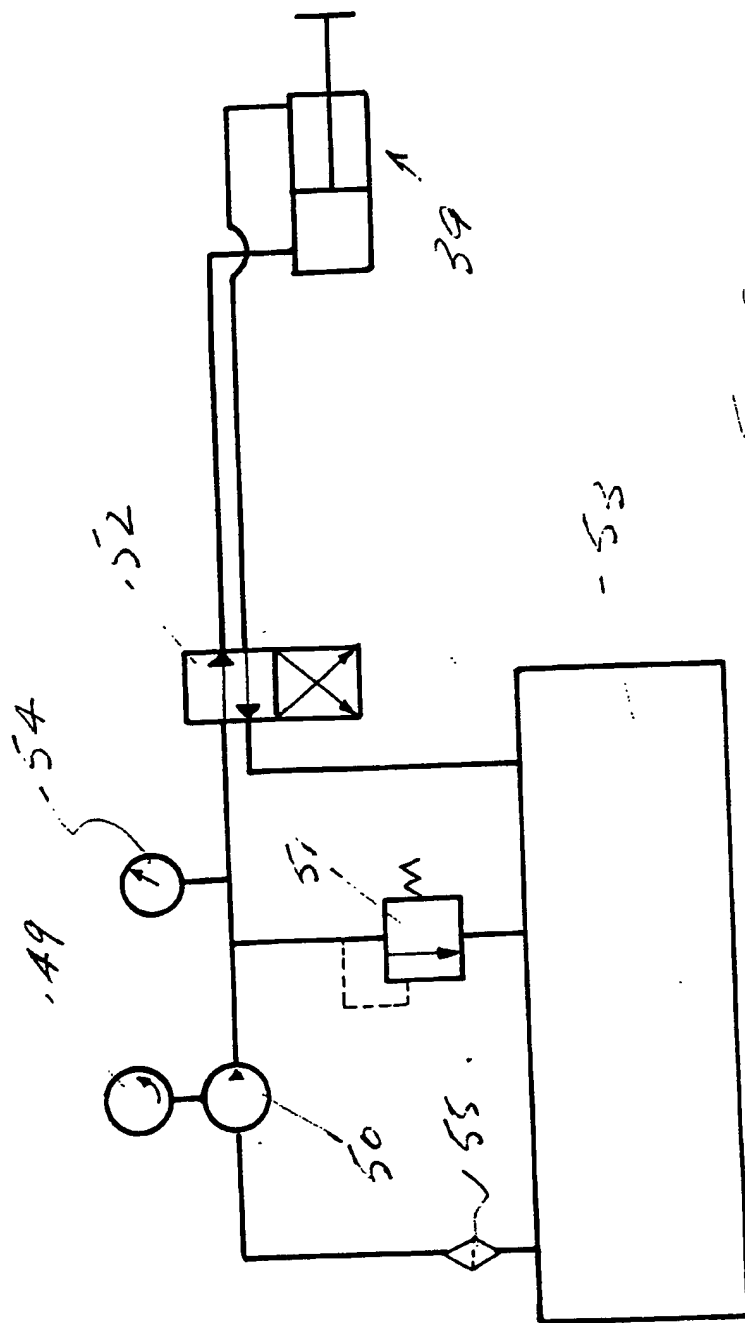


FIG. 12

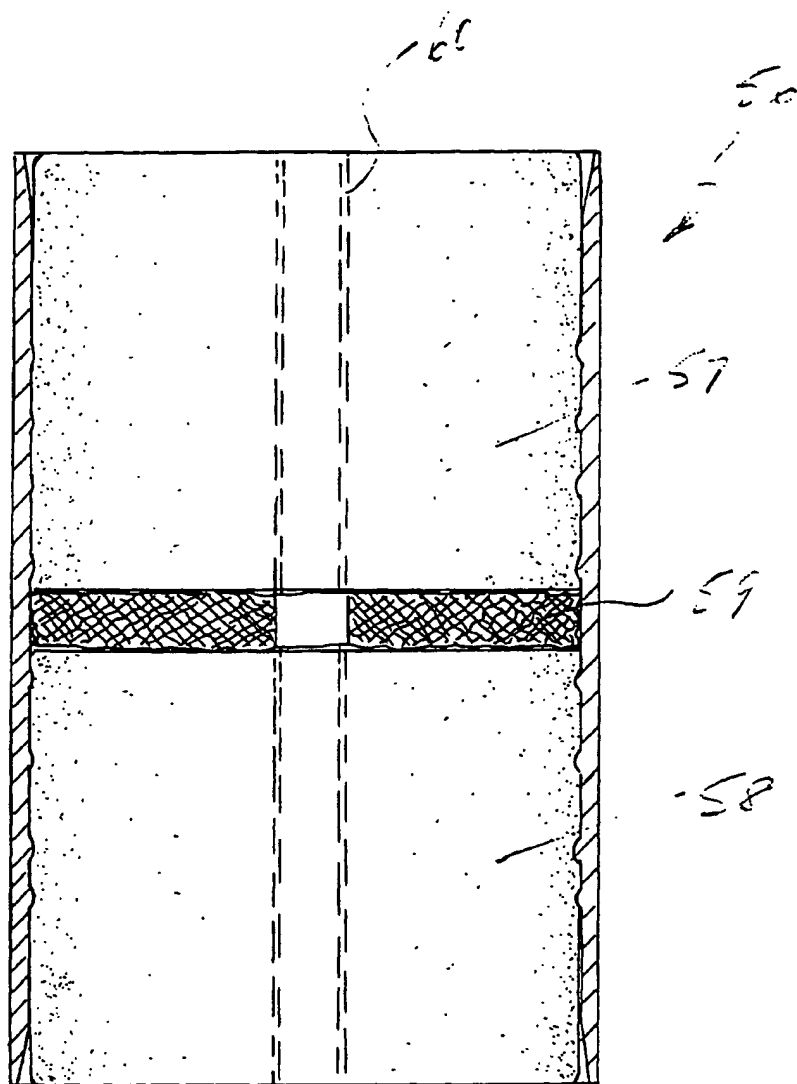


FIG. 13